



# Alpha Governance Partners

The first and only global, jurisdiction-agnostic, conflict-free, high-end fiduciary, risk-cultured partnership providing idiosyncratic investment risk-focused professional directors to any vehicle investing in, allocating to and overlooking complex investments

## Key facts

- Assets under Governance USD 15bn+
- No. of jurisdictions active 12
- Strategies Commodities, Currencies, Hedge Funds, Emerging Markets, Frontier Markets Insurance-Linked, Multi-Asset Private Debt, Private Equity Real Estate, Responsible investments Specialty overlay, Trade Finance
- Type of vehicles Asset managers, Family offices Wealth Managers, Fintech firms Investment funds & Management companies Insurance Firms, Pension Funds, Charities Holding companies, Supranationals Trusts, Special purpose vehicles

## Partnership principles - all our partners:

1. Are C-level fiduciaries, experienced in alternative investments, wealth and risk management
2. Have direct investment and risk expertise across a range of complex investment strategies and investment vehicles, both as allocators and as managers
3. Have strong on-going academic and professional bodies involvement focused on cutting edge thought leadership, products and methodologies
4. Can be held to the highest levels of integrity, offer no ancillary services to avoid any conflict of interest
5. Work together to deliver the whole partnership and knowledge base to the client

## A key due diligence question...

**Q:** What sets Alpha GP apart from other providers?

**A:** 1<sup>st</sup>: Alpha GP's **free of conflicts-of-interest** business model. 2<sup>nd</sup>: Alpha GP's hands-on **fiduciary C-level investment- and risk experience** and **deep up-to-date knowledge base**

Alpha GP is **complementary to existing directors**, providing added independence and investment and risk skills to supplement existing legal and administrative skill sets

## Key challenges for investments today

1. **Unpredictable capital markets** that are hostage to both **unresolved financial stability issues** and **continual** central bank / policy **interventions**
2. The **difficulties today in achieving sufficient net yield / growth** to service return and liability requirements
3. A plethora of new and **untested regulatory requirements** continues to reshape the global investment industry
4. **Pre-2008 investment boards** and committees **lack quality investment and risk expertise**, as evidenced during the last crisis
5. **Asset class correlations** often converge to **one** in times of **distress** leading to **uncompensated illiquidity** and **risks**
6. **Governance**, board dynamics, **true independence** and **integrity** moving up the ladder of **investors' concerns** after prominent cases of negligence
7. The **growing use of product structuring** to achieve **alternative-based alpha**, increasing the need for governance and added risk monitoring
8. And of course, a **unique set of individual and highly specific challenges** for different industries (e.g. hedge funds, pensions etc.) for which a high level of **continuity** and **judgment** is required
9. Managing the **outsourced investment construct** is getting **increasingly complex**, from a legal, jurisdictional an operational point stand point, also as products evolve over time
10. New, or not-so-new **risks keep on emerging**: reputational, operational, conduct, mis-selling, counterparty, model, tax, data and outsourcing risk and **obfuscates a smooth implementation** of investment strategies

## Alpha GP's Credo: Risk-based Investment Governance

- To **truly represent investors** and **beneficiaries** and be a reliable and trusted source of **internal challenge**
- To perform with **undisputed integrity, free of conflicts of interests**
- To provide a detailed and current **holistic understanding of governance, investments, risks and relevant regulation**
- To provide **credible high-calibre non-executive directors**, with combined **almost 40 years full-cycle C-level experience** across risk management, investments, regulation, structuring, business strategy, pensions and alternatives, coupled with strong ongoing **thought leadership involvement**

## What we have helped clients achieve

- **Added investment and risk expertise**
- **Improved governance** and board dynamics
- **Increased independence** and transparency
- **Higher standard of compliance**
- **Increased confidence and credibility**
- **Mitigated investment and operational risks**
- **Protected assets and investment results**
- **Supported business goals and AUM growth**

## Selected endorsements

- "... **[I]mpressive** to be able to work with a board member whose grasp of valuation issues and fixed income markets were both wide and **steeped in practitioner knowledge**." : Senior risk manager, London
- "...**[Y]our** skill set is **impressive** and having you on our team is a wonderful opportunity and honor... **I'm glad** we lucked into finding you." : Hedge fund manager, New York City
- "... **[V]ery, very competent and extremely committed...** He assumes responsibility and **contributes very positively** to the development of the company." : Alternative fund manager, Dublin
- "... **[E]ngaging, asks relevant questions and exhibits great expertise** in his field. His involvement has clearly **benefited the board, the fund and our investors**." : Hedge fund manager, Luxembourg

## Contact the Partners for a confidential discussion

- Dr. Giuseppe Balocchi, CFA, balocchi@alpha-gp.com, +41 79 820 99 75 & +39 3342 47 6615, Geneva & Bologna
- Dr. Eelco Fiore, CFA, CDir, fiore@alpha-gp.com, +41 76 522 8140 & +65 8531 5170, Zurich & Singapore
- Mads Jensen, CFA, CAIA, CIPM, CIFD, jensen@alpha-gp.com, +45 23 23 80 86, Copenhagen
- Dr. Bob Swarup, CAIA, swarup@alpha-gp.com, +44 7801 552 755, London